



## Check a solicitor's record

If you haven't used this search tool, please read [help with searching \[/consumers/solicitor-check/help-search-solicitor-record/\]](#).

### Search for decisions

Name or SRA ID

Enter the solicitors name (e.g. Smith) or SRA ID (e.g.

123456)

Outcome type

### Decision date from

Month

Year

Please enter a valid date

For example, 8 2016

### Decision date to

Month

Year

Please enter a valid date

For example, 8 2019

Search Decisions

## Important notes

- Not all regulatory decisions are published—read our [guidance on publishing regulatory and disciplinary decisions \[/consumers/solicitor-check/policy/\]](#). We began publishing decisions in early 2008.
- Generally decisions remain on our website for three years.
- For the most up-to-date and complete picture of an individual's regulatory record, please [contact us \[/home/contact-us/\]](#).
- Read more about [how we regulate \[/consumers/who-we-are/sra-regulate/\]](#).

## Solicitors Register

[Solicitors Register](#)

[Search for SRA-regulated individuals and firms in England and Wales](#)

[\[/consumers/register/\]](#)



## Types of decision

The search tool gives you an option to search by types of [outcome](#) [\[/consumers/using-solicitor/legal-jargon-explained#outcome\]](#), which include the individual regulatory decisions. These are listed below—follow the links to find out more about each type of outcome and what it means.

You should read about the individual types of decision in conjunction with anything you see on a regulatory record and [contact us](#) [\[/home/contact-us/\]](#) for the latest on that individual or [firm](#) [\[/consumers/using-solicitor/legal-jargon-explained#firm\]](#), before making any judgements about the individual or firm, as this website cannot give a complete picture of the regulatory record.

### Agreement

- [Regulatory settlement agreement](#) [\[/consumers/solicitor-check/agreements/#rsa\]](#)

### Approval denied

- [Withdrawal of authorisation of non-lawyer manager](#) [\[/consumers/solicitor-check/approval-denied/#withdraw-non-lawyer\]](#)
- [Revocation of recognition—recognised bodies](#) [\[/consumers/solicitor-check/approval-denied/#revocation-recognition\]](#)
- [Authorisation revoked—recognised sole practitioner](#) [\[/consumers/solicitor-check/approval-denied/#authorisation-revoked\]](#)
- [Suspension of PC/registration](#) [\[/consumers/solicitor-check/agreements/#suspension\]](#)
- [Termination of suspension of PC/registration](#) [\[/consumers/solicitor-check/agreements/#termination-suspension\]](#)
- [Refusal to grant PC/registration](#) [\[/consumers/solicitor-check/agreements/#refusal\]](#)

### Closure

- [Intervention](#) [\[/consumers/solicitor-check/closures/\]](#)

### Control of practice

- [Conditions on a PC/registration](#) [\[/consumers/solicitor-check/controls/#condition\]](#)
- [PC/registration free of conditions](#) [\[/consumers/solicitor-check/controls/#pc-reg-free-conditions\]](#)
- [Recognition free of conditions](#) [\[/consumers/solicitor-check/controls/#recognition-free-conditions\]](#)

### Employee-related decision



- [Approval of employment \(section 41\) \[/consumers/solicitor-check/employee-decision/#approval-s41\]](#)—qualified lawyers
- [Approval of employment \(section 43\) \[/consumers/solicitor-check/employee-decision/#approval-s43\]](#)—employees who are not qualified lawyers
- [Control of non-qualified employees \(section 43\) \[/consumers/solicitor-check/employee-decision/#control-s43\]](#)

## **Prosecution**

- [Referral to Solicitors Disciplinary Tribunal \(SDT\) \[/consumers/solicitor-check/prosecutions/#prosecution-referral\]](#)
- [SDT finding \[/consumers/solicitor-check/prosecutions/#prosecution-finding\]](#)
- [SDT order \[/consumers/solicitor-check/prosecutions/#prosecution-order\]](#)

## **Sanction**

- [Fine \[/consumers/solicitor-check/sanctions/#fine\]](#)
- [Rebuke \[/consumers/solicitor-check/sanctions/#rebuke\] \[/consumers/solicitor-check/sanctions/#reprimand\]](#)